

United States Bankruptcy Court
Southern District of Texas

In re **Armada Water Assets, Inc.**

Debtor(s)

Case No. **16-60056**Chapter **11**

LIST OF EQUITY SECURITY HOLDERS - AMENDED

Following is the list of the Debtor's equity security holders which is prepared in accordance with rule 1007(a)(3) for filing in this Chapter 11 Case

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Abba Properties Partnership 6053 West Third Los Angeles, CA 90036	Common	16,667	Common
ACP X LP 800 Westchester Ave Ste 349N Rye Brook, NY 10573	Common	166,667	Common
Ahmad, Sami 1 Dunnam Lane Houston, TX 77024	Common	65,833	Common
Ali Bijan Rafie Trust c/o Ali Rafie 1825 North Howie #B Chicago, IL 60614	Preferred E	8,027	Preferred
Archon Securities Defined Benefit Pension Plan 4646 E Van Buren Street #190 Phoenix, AZ 85008	Common	16,667	Common
Arnost, Thoams M. 5226 Shoshone Ave Encino, CA 91316	Preferred E	50,000	Preferred
Austin Leasing Partners, LLC 1133 Canterbury Road Shakopee, MN 55379	Preferred B	900,000	Preferred
Austin Leasing Partners, LLC 1133 Canterbury Road Shakopee, MN 55379	Preferred B	1,215,714	Preferred
Austin Leasing Partners, LLC 1133 Canterbury Road Shakopee, MN 55379	Common	618,250	Common
Austin Leasing Partners, LLC 1133 Canterbury Road Shakopee, MN 55379	Common	37,009	Common
Austin Water Holdings, LLC Attn: M. Austin Smith 1133 Canterbury Road Shakopee, MN 55379	Preferred B	2,205,893	Preferred

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Balestri, Fabrizio 985 Windsor Creek Court Cardiff by the Sea, CA 92007-1136	Common	15,001	Common
Bartlett, David 6 Falls View Fair Oaks Ranch, TX 78015	Common	6,667	Common
Batansky, Jason 100 W Montgomery Ave Unit 5 Ardmore, PA 19003	Common	3,334	Common
Bellino, Michael 310 Society Hill Blvd Cherry Hill, NJ 08003	Common	3,334	Common
Belphil Investment Partners, LLC Attn: Mitch Burroughs 5807 20th Street Greeley, CO 80634	Preferred A	1,940,925	Preferred
Belphil Investment Partners, LLC Attn: Mitch Burroughs 5807 20th Street Greeley, CO 80634	Common	79,555	Common
Berg, Clyde 10050 Bandlely Drive Cupertino, CA 95014	Preferred E	125,000	Preferred
Berg, Clyde 10050 Bandlely Drive Cupertino, CA 95014	Common	100,000	Common
Berman, Stanley 7932 Seville Place #2104 Boca Raton, FL 33433	Common	4,167	Common
Blackstone Management Services, LLC 10805 Bernini Drive Las Vegas, NV 89141	Common	29,167	Common
Blonder, David 429 N Mansfield Ave Los Angeles, CA 90036	Preferred E	66,892	Preferred

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Brown, Jr., Thomas R. 11049 West 26th Street Odessa, TX 79763	Preferred B	1,000,000	Preferred
Bruce Ferguson & Dawn E Gunter As Tenants In Common 7180 N Cathedral Rock Place Tucson, AZ 85718	Common	6,667	Common
Burroughs Family Holdings, LLC 5807 20th Street Greeley, CO 80634	Preferred B	425,000	Preferred
Burroughs Investment Partners, LLC 5807 West 20th Street Greeley, CO 80634	Common	84,001	Common
Burroughs, Blaker 6102 Kesswick Court Fort Collins, CO 80525	Common	7,782	Common
Calvert, Clair 260 Marygrove Crescent Winnipeg MB R3Y 1M4 CANADA	Common	116,667	Common
Campbell Kemp Skokos 32 River Rodge Rd Little Rock, AR 72227	Common	16,667	Common
Cardone, Daniel John 13 Thorton Court Souderton, PA 18964	Common	33,334	Common
Carl & Maryann Berg Charitable Remainder Trust Carle E. Berg, Trustee 10050 Bandlely Drive Cupertino, CA 95014	Preferred E	375,000	Preferred
Chin, Deborah 10800 East Cactus Rd Lot 60 Scottsdale, AZ 85259	Common	8,334	Common
City National Bank Trustee Elanken Family Trust - S Dated April 24, 2002 400 North Roxbury Dr 6th FL Beverly Hills, CA 90212	Common	33,334	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Clyde J. Berg 2011 Charitable Remainder Trust 10050 Bandlely Drive Cupertino, CA 95014	Preferred E	250,000	Preferred
Clyde J. Berg 2011 Charitable Remainder Trust c/o Clyde E Berg, Trustee 10050 Bandlely Drive Cupertino, CA 95014	Preferred E	106,689	Preferred
Combs III, J. John 7801 Brighton Road Commerce City, CO 80022	Preferred B	425,000	Preferred
Combs III, J. John 7801 Brighton Road Commerce City, CO 80022	Common	83,334	Common
Cope, John 2 Woodbury Ave Sellersville, PA 18960	Common	16,001	Common
Corporate Capital Investors LLC 227 Great River Road Great River, NY 11739	Common	400,001	Common
Cullman, Lewis B. 555 Park Ave Apt 12W New York, NY 10065	Preferred E	66,892	Preferred
Curry, Gian 3782 Mykonos Lane #59 San Diego, CA 92130	Common	3,334	Common
D&R Partners LLC 4221 Wilshire Blvd Ste 355 Los Angeles, CA 90010	Preferred E	26,132	Preferred
D-J's Well Services, Inc. P O Box 1130 Borger, TX 79008	Preferred E	15,625	Preferred
D-J's Well Services, Inc. P O Box 1130 Borger, TX 79008	Common	75,000	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
D-JA Well Service, Inc. 1615 Main street P O Box 1130 Borger, TX 79008	Common	25,000	Common
Dadey, Virginia 51 West 86th Street Apt 1201 New York, NY 10024	Common	16,667	Common
Daniel W & Allaire D Hummell, JTWROS 17253 La Brisa Lane Summerland Key, FL 33042	Common	6,667	Common
Davy, Darren 1212 Fifth Ave Apt 4A New York, NY 10029	Preferred E	62,500	Preferred
Davy, Darren 1212 Fifth Ave Apt 4A New York, NY 10029	Common	66,000	Common
Dell, John Edward 65 Fullerwood Dr Saint Augustine, FL 32084	Common	120,000	Common
Dell, Laura P 65 Fullerwood Dr Saint Augustine, FL 32084	Common	46,667	Common
Donald S. Jackson Non Exempt Trust Donald S. Jackson Trustee 117 Well Springs Boerne, TX 78006	Common	3,334	Common
Donaldson Family, LLC 3400 East County Road 30 Fort Collins, CO 80528	Common	7,576	Common
Donoflio, Lena J 1811 Schley Street Philadelphia, PA 19145	Common	8,334	Common
Drawbridge Holdings, LLC 888 Biscayne Blvd #3504 Miami, FL 33132	Common	33,334	Common

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Dresner, Bryan S 10 Pheasant Ridge Rd Newtown, CT 06470	Common	3,334	Common
Dunn, Edward M 5 Parsons Island View Grasonville, MD 21638	Common	88,334	Common
Ehrlich Family Trust 12544 High Bluff Place #400 San Diego, CA 92131	Common	8,334	Common
Elsheikh, Haitham 10428 Laurel Road Fort Lauderdale, FL 33328	Common	25,000	Common
Embark Investment Trust 2160-650 West Georgia Street Vancouver BC V6B 4N7 CANADA	Common	16,667	Common
Excelsus Capital Partners, LLC 53 W Palisades Ave PH2 Englewood, NJ 07631	Common	25,000	Common
Excelsus Consulting, LLC 65 Woodruff Lane Bridgehampton, NY 11932	Common	50,000	Common
Faieta, Richard 1069 Hillsboro Mile Hillsboro Beach, FL 33062	Common	3,334	Common
Feinberg, Martin 515 East 79th Street #2013 New York, NY 10021	Preferred E	13,378	Preferred
Fenmore Consultants Ltd P O Box 599, Caribbean Place Leeward Highway, Providenciales British West Indies TURKS & CAICOS ISLANDS	Common	208,334	Common
Four Jr. Investments Ltd 844 Harbour Isles Place Palm Beach Gardens, FL 33410	Preferred E	26,757	Preferred

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Four Jr. Investments Ltd 844 Harbour Isles Place Palm Beach Gardens, FL 33410	Common	33,334	Common
Francescani, David P O Box 996 Remsenburg, NY 11960	Common	30,000	Common
Fred A Wagner Jr & Allison K Wagner As Community Property 7723 North 183rd Ave Waddell, AZ 85355	Common	8,334	Common
Freedom Three Oil & Gas Ltd 1615 North Main Street Borger, TX 79007	Preferred E	15,625	Preferred
Freedom Three Oil & Gas Ltd 1615 North Main Street Borger, TX 79007	Common	100,000	Common
Furman, Christopher M 725 S Milwaukee Street Denver, CO 80209	Common	16,667	Common
Garnick, Michael J 75 Willow Road Woodsburgh, NY 11598	Common	600,001	Common
Georganas, George 1109 South Broadway Park Ridge, IL 60068	Common	33,334	Common
Ginsberg, Bruce 309 Myrtle Lane Penn Valley, PA 19072	Common	3,334	Common
Gladstone, Aubrey 740 NE Harbor Drive Boca Raton, FL 33431	Common	3,334	Common
Greenberg Capital LLC c/o David Greenberg, Member 11 Farmview Road Port Washington, NY 11050	Preferred E	12,500	Preferred

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Griffin Value Investors, LLC 40 Cliffdale Drive Little Rock, AR 72223	Common	120,000	Common
Gullquist Limited Partnership 700 20th Street Vero Beach, FL 32960	Common	66,667	Common
Hannahs Value Investors, LLC 17710 Leatha Lane Little Rock, AR 72223	Common	333,334	Common
Hannahs, Gerald 17710 Leatha Lane Little Rock, AR 72223	Common	53,334	Common
Harley Dome Investors, LLC 600 W Germantown Pike #400 Plymouth Meeting, PA 19462	Preferred C	2,851,258	Preferred
Harley Dome Investors, LLC 600 W Germantown Pike #400 Plymouth Meeting, PA 19462	Common	21,359	Common
Harrington, Stephen P 600 W Germantown Pike Ste 400 Plymouth Meeting, PA 19462	Common	104,501	Common
Huerta, Arnold 1104 Terlingua Odessa, TX 79761	Preferred A	805,116	Preferred
Huerta, Arnold 1104 Terlingua Odessa, TX 79761	Preferred B	2,205,893	Preferred
Huerta, Arnold 1104 Terlingua Odessa, TX 79761	Common	47,050	Common
Indian Spring Partners 3 Parklands Drive Ste 205 Darien, CT 06820	Common	16,667	Common
James Lawler & Ali Rafie Safaie, JT TEN 10423 Seeley Ave Chicago, IL 60643	Common	16,667	Common

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
JKW Family Ltd 3555 Meadowbrook Way Davie, FL 33328	Common	40,000	Common
JP-VEL Investments LLC 1133 Canterbury Road Shakopee, MN 55379	Common	286,667	Common
K Kirk Revocable Trust 7001 North 40th Street Paradise Valley, AZ 85253	Preferred E	68,455	Preferred
Kallop, Brooks 5473 Sugar Hill Drive Houston, TX 77056	Common	125,000	Common
Karfunkel, George 1671 52nd Street Brooklyn, NY 11204	Common	216,667	Common
Kaufman, Andrew 277 Park Ave 47th FL New York, NY 10172	Common	20,000	Common
Kaufman, Doug 180 Sullivan Street New York, NY 10012	Common	8,334	Common
Koe, Jan E 1204 E Central Road Ste 100 Arlington Heights, IL 60005	Preferred E	16,054	Preferred
Kolchins, Alvin Z 108 Lemonton Way Radnor, PA 19087	Common	3,000	Common
Kovacs, Joel 17413 Robinson Ave Port Charlotte, FL 33948	Preferred E	2,676	Preferred
L.N.R. Family Trust 6265 Cypress Point Rd San Diego, CA 92120	Common	16,667	Common
Lilljack LLC c/o Jeremy Earl, President 7000 Almey Court Midland, TX 79707	Preferred E	10,703	Preferred

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Littman, Mario 531 Broad Acres Road Narberth, PA 19072	Common	2,500	Common
Lockcor Incorporated 77 Gordon Road Toronto ON M2P 1E3 CANADA	Common	76,667	Common
LPD Investments Ltd Peter Dalrymple, General Partner 13451 Belhaven Drive Houston, TX 77069	Preferred E	125,000	Preferred
Lynch, Robert J 14190 Harbor Lane Palm Beach Gardens, FL 33410	Common	4,000	Common
Mabile, Mark 4 Shorelake Drive Kingwood, TX 77339	Preferred E	13,378	Preferred
Macagna, James 22 Brook Way Demarest, NJ 07627	Common	41,667	Common
Mader, Brian 151 Liles Road Beebe, AR 72012	Preferred A	200,000	Preferred
Marie Elena Abbruzzese 2102 Family Trust 335 Bay Drive Massapequa, NY 11758	Preferred E	25,000	Preferred
Marvin Boehm Family Trust 9237 Tripp Ave Skokie, IL 60076	Common	11,667	Common
Matthew D and Regina M Maclean As Community Property 5608 West Buckhorn Trail Phoenix, AZ 85083	Common	8,334	Common
McCarthy, John 372 Morris Road Wayne, PA 19087	Common	2,500	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
McCartney, Brroks & Carmen As Joint Tenants Sammamish, WA 98075	Common	16,667	Common
McDaniel III, George O 4 Pine Hill Lane Houston, TX 77019	Common	33,334	Common
McNally, James 764 Edge of Woods Road Water Mill, NY 11976	Common	71,000	Common
Meastein LLC 20 S Andrews Ave Ste 903 Fort Lauderdale, FL 33301	Common	10,000	Common
Mei Investments, LLC 1 Messenger Drive Colts Neck, NJ 07722	Common	16,667	Common
Michalarias, James 11259 Melrose Court Oakland Park, IL 60467	Common	16,667	Common
Miller III, William F 2216 Sunset Houston, TX 77005	Common	192,454	Common
Miller IV, William F 4330 Travis Street Apt 107 Dallas, TX 75205	Common	8,334	Common
Miller, Samuel John 2808 McKinney Ave Ste 817 Dallas, TX 75204	Common	8,334	Common
Montgomery, Robert L 68 Main Street Stonington, CT 06378	Preferred E	6,689	Preferred
Montgomery, Robert L 68 Main Street Stonington, CT 06378	Common	8,334	Common
Moonlight Enterprises Ltd 41 Avenue Hector Otto Monaco 98000 MONACO	Common	178,153	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Moonlight Enterprises Ltd c/o North Atlantic Sam Le Patio Place 41 Ave Hector Otto Monaco MONACO	Common	275,000	Common
Movtady, Jacob 3 Pheasant Run Kings Point, NY 11024	Common	3,334	Common
MSG Invesco, LLC 1440 Broadway Ste 2350 New York, NY 10018	Common	33,000	Common
Musa, John A 4925 Chippendale Dr Fort Collins, CO 80526	Common	20,000	Common
Naegle, Robert 9360 Taddy Lane Ste 202 Lone Tree, CO 80202	Common	14,285	Common
Nahmias, David 14 Lynnfield Street Memphis, TN 38120	Common	8,334	Common
New Century Holdings, LLLP 6320 N Yucca Road Paradise Valley, AZ 85253	Common	16,667	Common
New Water Financial, LLC Attn: Mitch Burroughs 5807 20th Street Greeley, CO 80634	Preferred C	3,351,258	Preferred
New Water Financial, LLC Attn: Mitch Burroughs 5807 20th Street Greeley, CO 80634	Common	21,431	Common
Northlea Partners 2365 NW 41st Street Boca Raton, FL 33496	Common	8,334	Common
NSH 2008 Family Trust 389 Eagle Drive Jupiter, FL 33477	Preferred E	6,689	Preferred

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
O'Neal, Sean 9107 Redonda Dr Boca Raton, FL 33496	Common	57,500	Common
Packman, Charles 97 Stenton Ave Blue Bell, PA 19422	Common	8,334	Common
Pavlovic, Uros 500 Greenhaven Dr #318 Burnsville, MN 55306	Preferred E	6,689	Preferred
Peter P Parthenis Trust 1746 Clover Drive Palatine, IL 60067	Common	33,334	Common
Petracca, Lester 25 Bonne Heights Rd Manhasset, NY 11030	Common	83,334	Common
Pierce, Michael J 650 Ocean Road Vero Beach, FL 32963	Common	33,334	Common
PJL Holdings Limited Partnership 25394 Highway M Brookfield, MO 64628	Common	8,334	Common
Precedo Fund, LP 6720 N Scottsdale Rd Ste 350 Scottsdale, AZ 85253	Common	33,334	Common
Propper, Maarten 5327 Briawick Meadow Ln Sugar Land, TX 77479	Common	70,001	Common
Rathmell, Jr., John A. 410 Shadywood Houston, TX 77057	Preferred E	37,5000	Preferred
Raymond James & Assoc Inc Custodian Michael D Ellerson IRA R/O P O Box 12749 Saint Petersburg, FL 33733	Common	6,667	Common

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Raymond James & Assoc Inc Custodian FBO Eric D Ferguson (BENE) IRA UAD 12/23/15 A/C 67527860 880 Carillon Parkway Saint Petersburg, FL 33716	Common	3,333	Common
Raymond James & Assoc Inc Custodian Michael F Hannley IRA P O Box 12749 Saint Petersburg, FL 33733	Common	6,667	Common
Raymond James & Assoc Inc Custodian Timothy J Prouty IRA P O Box 12749 Saint Petersburg, FL 33733	Common	6,667	Common
Raymond James & Assoc Inc Custodian FBO Stacie Riggs (BENE) IRA UAD 12/23/15 A/C 67528355 880 Carillon Parkway Saint Petersburg, FL 33716	Common	3,334	Common
RBC Capital Markets Custodian FBO Laurence G Allen, IRA 43 Maple Avenue Greenwich, CT 06830	Common	33,334	Common
RBC Capital Markets Custodian FBO Barbara Dickler Segregated Rollover IRA 1334 Woodland Lane Riverwoods, IL 60015	Common	21,667	Common
RBC Capital Markets Custodian FBO Marshall N Dickler Roth IRA 1334 Woodland Lane Deerfield, IL 60015	Common	11,667	Common
RBC Capital Markets LLC, Custodian FBO John Josserand Roth IRA 203 North Texas Hereford, TX 79045	Preferred E	26,672	Preferred
RBC Capital Markets LLC, Custodian FBO Betty A Rutherford IRA 4533 Bryn Mawr Lane Houston, TX 77027	Preferred E	13,465	Preferred

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
RBC Capital Markets LLC, Custodian FBO Bruce F Rutherford IRA 4533 Bryn Mawr Lane Houston, TX 77027	Preferred E	13,465	Preferred
Rego, Mary Kathryn 131 Pennsylvania Ave Bryn Mawr, PA 19010	Common	4,167	Common
Rexford Capital LLC 1680 Michigan Ave #817 Miami Beach, FL 33139	Common	83,334	Common
Roff, David 27 Chicora Ave Toronto ON M5R 1T7 CANADA	Common	10,000	Common
Rosner, Steven B 1220 Mirabeau Lane Gladwyne, PA 19035-1048	Common	16,667	Common
Ross, Thomas R 1239 West Altgeld Strett Chicago, IL 60614	Common	50,000	Common
Rothstein, Allan c/o Aptiviti 129 West 29th Street #90 New York, NY 10001	Common	8,334	Common
Sabo, Peter S 504 North Point Road Saint Augustine, FL 32084	Common	16,667	Common
Salib Holdings, LLC 610 Sentry Parkway Ste 130 Blue Bell, PA 19422	Common	20,834	Common
Salib, Sherif Sidhom 196 Barry Ave Lansdale, PA 19446	Common	50,001	Common
Salib, Sherif Sidhom 196 Barry Ave Lansdale, PA 19446	Common	66,667	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Schaeffer, Richard 1000 South Ocean Blvd Ste 603 Boca Raton, FL 33432	Common	112,500	Common
Scheschuk, Brice 15 Long Crescent Toronto ON M4Z 1N7 CANADA	Common	10,000	Common
Schreiber, Robyn 64 Shelter Lane Roslyn Heights, NY 11577	Common	16,667	Common
Schump, Joseph A 827 Southridge Greens Blvd Fort Collins, CO 80525	Common	33,334	Common
Shupack, Bret 5220 Juneau Street Seattle, WA 98118	Common	16,667	Common
SJO Worldwide LLC 710 NE 3rd Ave Delray Beach, FL 33444	Common	50,000	Common
SLA Declaration of Trust 435 Righters Mill Road Gladwyne, PA 19035	Common	33,334	Common
Slaughter, Richard P O Box 2552 Alpine, CA 91903	Common	30,000	Common
SLD Capital Corp 1220 Mirabeau Lane Gladwyne, PA 19035	Common	143,334	Common
Smith, Kyle D 785 Match Cr Harleysville, PA 19438	Common	6,667	Common
SNW JB Properties 1615 North Main P O Box 1130 Borger, TX 79008	Preferred E	31,250	Preferred

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
SNW JB Properties 1615 North Main P O Box 1130 Borger, TX 79008	Common	200,000	Common
SPH Investments Profit Sharing Plan FBO Stephen P Harrington 600 W Germantown Pike Ste 400 Plymouth Meeting, PA 19462	Preferred E	62,500	Preferred
SPH Investments Profit Sharing Plan FBO Stephen P Harrington 600 W Germantown Pike Ste 400 Plymouth Meeting, PA 19462	Common	66,667	Common
SPH Investments Profit Sharing Plan FBO Stephen P Harrington 600 W Germantown Pike Ste 400 Plymouth Meeting, PA 19462	Common	42,001	Common
SPH Investments Profit Sharing Plan FBO Stephen P Harrington 600 W Germantown Pike Ste 400 Plymouth Meeting, PA 19462	Common	104,167	Common
SPH Investments, Inc. 610 Sentry Parkway Ste 130 Blue Bell, PA 19422	Preferred E	62,500	Preferred
SPH Investments, Inc. 610 Sentry Parkway Ste 130 Blue Bell, PA 19422	Common	40,000	Common
Sroufe, Derek 10915 E Sutherland Way Scottsdale, AZ 85262	Common	28,334	Common
Stanley, Mark E 35 Pleasant Point Place Spring, TX 77389	Common	40,000	Common
Stephens, Thomas C P O Box 682350 Park City, UT 84069	Common	16,667	Common
Stern, Ian Bar Kochba 83 Jerusalem ISRAEL	Common	3,334	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Stettner, Adam 5818 Aster Meadows PL San Diego, CA 92130-6909	Common	8,334	Common
Stier, Alan #18 - 5101 19th Street Vernon BC V1T 9V8 CANADA	Common	16,667	Common
Sunrise Pacific Ltd P O Box 599, Le Vele, Plaza Grace Bay Providenciales TURKS & CAICOS ISLANDS	Common	66,667	Common
Susan and Robert DeRose Family Trust Dated 11/18/86 P O Box 8167 Rancho Santa Fe, CA 92067	Common	43,334	Common
Susan and Robert DeRose Family Trust Dated 11/18/86 P O Box 8167 Rancho Santa Fe, CA 92067	Common	23,334	Common
Susan and Robert DeRose Family Trust DTD 11/18/86 16584 Via Lago Azul Rancho Santa Fe, CA 92067	Common	50,000	Common
T&T Equities Inc. 201 Los Gatos-Saratoga Rd #142 Los Gatos, CA 95030	Preferred E	31,250	Preferred
The Martin Greenberg Revocable Living Trust Dated 5/2/11 c/o Martin Greenberg, Trustee One North End Ave Ste 1129 New York, NY 10282	Preferred E	12,500	Preferred
The Robert G Mulchrone Trust 1331 South Federal Chicago, IL 60605	Common	16,667	Common
The Scott Saldana Revocable Trust U/A/D 9/28/06 Scott J Saldana Trustee 11 E Walton Street #5500 Chicago, IL 60611	Preferred E	125,000	Preferred

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
The Zemer Family Living Trust 4330 La Jolla Village Dr #100 San Diego, CA 92122	Common	16,667	Common
Thoroski, Janice 337-230 Fairhaven Rd Winnipeg MB R3P 1A4 CANADA	Common	116,667	Common
Travelle, Kathryn 7612 E Jenan Drive Scottsdale, AZ 85260	Common	16,667	Common
Trepeta, Sean 600 Old Country Rd Ste 541 Garden City, NY 11530	Common	10,000	Common
UB Water, LLC Attn: Rex Beall 14605 WCR 21 Platteville, CO 80651	Preferred D	1,500,000	Preferred
Van Emburgh Management LLC 6000 Collins Ave Ste 105 Miami Beach, FL 33140	Common	10,000	Common
Vantage F/B/O Laurence E. Lof Roth IRA 4757 E Camp Lowell Drive Tucson, AZ 85712	Common	16,667	Common
Vekoe Partners LLC 1204 E Central Rd Ste 100 Arlington Heights, IL 60005	Preferred E	10,703	Preferred
Velodrome Holdings Ltd c/o Meritus Trust Company Ltd Mintflower Place 4th Floor Hamilton HM08 BERMUDA	Preferred E	750,000	Preferred
Waite, David 705 La Mirada Ave Encinitas, CA 92024	Common	3,334	Common
Ward Capital L.P. 5949 Sherry Lane #1735 Dallas, TX 75225	Common	16,667	Common

List of equity security holders consists of 21 total page(s)

Software Copyright (c) 1996-2016 Best Case, LLC - www.bestcase.com

Best Case Bankruptcy

In re: **Armada Water Assets, Inc.**Case No. **16-60056**

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
Ward Capital L.P. 5949 Sherry Lane #1735 Dallas, TX 75225	Common	16,667	Common
Washington, Don Lee 3307 Rembrandt Ave Odessa, TX 79765	Preferred A	805,116	Preferred
Washington, Don Lee 3307 Rembrandt Ave Odessa, TX 79765	Preferred B	1,750,000	Preferred
Washington, Don Lee 3307 Rembrandt Ave Odessa, TX 79765	Common	9,953	Common
William Belzberg Revocable Living Trust 9665 Wilshire Blvd Ste M-10 Beverly Hills, CA 90212	Common	300,002	Common
Wiltain Investors LLC 648 Post Road Wakefield, RI 02879	Preferred E	62,500	Preferred
Wiltain Investors LLC 648 Post Road Wakefield, RI 02879	Common	163,629	Common
Wolfberg Family Trust 800 Grove Street Glencoe, IL 60022	Preferred E	26,757	Preferred
Zona Investments, LLC 30166 Sonrisa Lane Laguna Niguel, CA 92677	Common	208,334	Common

In re: Armada Water Assets, Inc.Case No. 16-60056

Debtor(s)

LIST OF EQUITY SECURITY HOLDERS

(Continuation Sheet)

Name and last known address or place of business of holder	Security Class	Number of Securities	Kind of Interest
---	----------------	----------------------	------------------

DECLARATION UNDER PENALTY OF PERJURY ON BEHALF OF CORPORATION OR PARTNERSHIP

I, the **Chief Restructuring Officer** of the corporation named as the debtor in this case, declare under penalty of perjury that I have read the foregoing List of Equity Security Holders and that it is true and correct to the best of my information and belief.

Date June 23, 2016Signature /s/ Tom Breen
Tom Breen

Penalty for making a false statement of concealing property: Fine of up to \$500,000 or imprisonment for up to 5 years or both.
18 U.S.C. §§ 152 and 3571.